UNITED STATES OF AMERICA before the COMMODITY FUTURES TRADING COMMISSION

In the Matter of:)
Whistleblower Award Application of ("Applicant"): - Form WB-APP - Form WB-APP	Applicant , and .) CFTC Whistleblower Award) Determination No. 16-WB-01)

ORDER

Attached is the Commodity Futures Trading Commission Determination pertaining to the whistleblower award applications of Applicant ("Applicant"), submitted on Forms WB-APP and WB-APP referenced above.

Pursuant to the Commission's Whistleblower Rules (17 C.F.R. Part 165 (2015)), the Whistleblower Award Determination Panel is authorized to make whistleblower award determinations for the Commission. Therefore, as set forth in the attached, it is the Determination and Final Order of the Commission that the whistleblower award applications be denied.

In accordance with 7 U.S.C. § 26(f) (2012) and 17 C.F.R. § 165.13 (2015), if you wish to appeal this Final Commission Determination and Order, you must appeal to the appropriate court of appeals of the United States not more than 30 days after this Final Order of the Commission is issued.

PUBLIC VERSION

Decision Issued: November 13, 2015

Christopher J. Kirkpatrick
Secretary of the Commission
Commodity Futures Trading Commission
1155 21st Street, N.W.
Washington, DC 20581

UNITED STATES OF AMERICA before the COMMODITY FUTURES TRADING COMMISSION

In the Matter of:)	
Whistleblower Award Application of ("Applicant"): - Form WB-APP - Form WB-APP	Applicant , and .)	CFTC Whistleblower Award Determination No. 16-WB-01

WHISTLEBLOWER AWARD DETERMINATION

Before the Whistleblower Award Determination Panel of the Commodity Futures

Trading Commission ("Commission") are the whistleblower award applications of Applicant

("Applicant"), submitted on Forms WB-APP and WB-APP

referenced above.

IT IS HEREBY DETERMINED that:

- 1. The award applications are denied because the Applicant failed to file a Form TCR which is required under Section 165.3 of the Commission's Whistleblower Rules. See 17 C.F.R. § 165.3 (2015). Instead, the Applicant mistakenly submitted complaints on Form WB-APPs rather than on Form TCRs (the form for whistleblower tips and complaints). The applicant is therefore not a whistleblower.
- 2. Furthermore, Applicant's Form WB-APPs do not pertain to a covered Commission judicial or administrative action, or a related action as required by Section 165.3(a) of the Commission's Whistleblower Rules. See 17 C.F.R. § 165.3(a).

PUBLIC VERSION

Dated: November 10, 2015

Whistleblower Award Determination Panel Commodity Futures Trading Commission 1155 21st Street, N.W. Washington, D.C. 20581